AIU AWARDS & SCHEMES FOR FACULTY MEMBERS & STUDENTS

Best Faculty Researcher

Best Publication

Best Doctoral Thesis

Best All Rounder University Student



भारतीय विश्वविद्यालय संघ Association of Indian Universities New Delhi

AIU Policy Document 01/2022

AIU AWARDS & SCHEMES FOR FACULTY MEMBERS & STUDENTS

- Best Faculty Researcher
- Best Publication
- Best Doctoral Thesis
- Best All Rounder University Student



भारतीय विश्वविद्यालय संघ ASSOCIATION OF INDIAN UNIVERSITIES AIU House, 16, Comrade Indrajit Gupta Marg New Delhi © Association of Indian Universities, New Delhi, 2022

Published by Association of Indian Universities, AIU House, 16, Comrade Indrajit Gupta Marg, New Delhi-110 002

Printed at New United Process, New Delhi 110028

MESSAGE

Motivation of faculty members and students is widely recognised as one of the most important factors responsible for improving the quality and standards of education. It helps them to enhance their performance with greater job satisfaction while achieving their personal goals by pursuing a successful professional career. In the contemporary higher education scenario wherein, massive changes are being witnessed, faculty members are expected to play multifaceted roles. Along with their traditional role of teaching and research they are expected to



perform many additional works in the process of accomplishing the mission of the institutions. Therefore, periodic rejuvenation of their spirit through rewards and incentives becomes essential. The best way to motivate the faculty members is the recognition of their hard work. It creates enthusiasm among them, gives legitimacy to their work, develops a sense of belongingness to the system which ultimately acts as catalyser for accomplishing the mission and objectives of the institutions. Similarly, recognising the achievements of the students in academic performance, curricular, co-curricular and extracurricular activities goes a long way in energising their spirit, enhancing their concentration and sustaining their positive behaviour and attitude over a long period.

Recognising the importance of the issue, the higher education institutions adopts diversified measures to motivate their faculty members and students through professional development activities, rewards, incentives, taking welfare measures, etc. But the recognition is confined to the institutional or local level. When such kind of recognition is received from regional and national or international level organisations the visibility of faculty members and students enhances and they feel revitalised and motivated to put their best in achieving the goals of the organisation.

During my professional career in higher education spanning more than five decades- as a teacher, an educational administer, and athree-time vice chancellor, the issues related to faculty and students has been dear to my heart. Soon after taking over the Presidentship of Association of Indian Universities, a thought struck to mind to introduce some reinforcing measures to motivate the faculty members and encourage the students. Therefore, I initiated the AIU Awards and Schemes for the larger benefit of the faculty members and students of our member universities.

A few persons deserve my thanks and gratitude for supporting my endeavour

in concretising the idea. At the outset, I am thankful to the members of the Governing Council of AIU for their enthusiastic support and endorsement to launch the awards and schemes. I acknowledge and put on record the contribution of Dr Amarendra Pani, Joint Director, Research and his team for taking up of the onerous task to drafting the conceptual framework, guidelines and the format of application for the Awards and Schemes. Prof. S Sudalaimuthu, Vice Chancellor, Karpagam Academy of Higher Education and

member, AIU Governing Council deserves accolade for his input in finalising the document. I duly appreciate Dr Pankaj Mittal, Secretary General, for her support in accomplishing the task.

I am hopeful that the Awards and Schemes will immensely benefit the faculty members and students of the Member Universities AIU.

Col. Dr G Thiruvasagam President, AIU

FOREWORD

Teachers and students are the central pillars of the educational process. The purpose of education is accomplished when the level of motivation of teachers and students are high. Quality of education largely depends upon the quality, competency and commitment of the teachers in any educational system. An inclusive campus with dynamic and motivated teachers, student friendly environment and good infrastructure helps achieving organisational goals. Therefore, level of motivation of both the teachers and students assumes enormous importance. Recognition of good work not only stimulates their energy but also increases the motivation both at intrinsic and extrinsic level and gives legitimacy to their work.

For the encouragement of the faculty members and students and to recognise their good works, some higher education institutions have devised internal mechanisms. Largely, the recognition is confined to their institution only. When such kind of appreciation and recognition are received from the national level organisations and apex bodies through awards, prizes or certificates, the works of the faculty members and students gets wider visibility, develops a sense of belongingness to the system, and also gives them a sense of purpose to work for the organisation and system with a renewed commitment. They feel encouraged and empowered to contribute in accomplishing the organisational mission with best of their potentials. With the growing complexities in higher education and surmounting the challenges, it has become all the more necessary to keep the morale of the faculty and students high.

Realising the importance of the issue, the Association of Indian Universities, under the leadership of Col. Dr G. Thiruvasagam, President, AIU, has launched a few awards for the faculty members and students, namely, i) Dr. Radhakrishnan Award for the Best Teacher Researcher, ii) Best Publication Award (Publication with highest Impact factor), iii) Best Doctoral Thesis Award, and iv) Award for Best All-rounder University Student. These awards are mainly directed towards encouraging the researchers, faculty members and students of the member universities for improving the quality and standard of teaching, learning and research. The objective is to acknowledge and promote the academic excellence by giving formal recognition to the quality works and achievements of the faculty members and students which gives them advantage in their career development and progression. Further, the faculty members and students will receive wider and better visibility beyond the boundaries of their institutions. The award for best all rounder university student is launched to acknowledge, appreciate and promote students' achievement and excellence in academic, co-curricular and extracurricular activities by creating a competitive spirit. It will also provide formal

recognition to the students' achievements which can be useful for them for their further educational endeavour and career progression.

This document presents the detailed guidelines and policies such as the preamble, objectives, nomination and assessment process, criteria for selection for the awards in a comprehensive and lucid manner. I appreciate and acknowledge the contribution of Dr Amarendra Pani, Joint Director, Research and his team in the Research Division for preparing the draft policy guidelines. We are grateful to our President Col Dr G. Thiruvasagam for initiating the idea and continuously guiding us in the process of preparing the policy document. The inputs received from Dr. S. Sudalaimuthu, Vice-Chancellor, Karpagam Academy of Higher Education in finalising the document are gratefully acknowledged.

I am sure the publication containing the details of the AIU Awards and Schemes will be useful for the member universities and create enthusiasm among the faculty members and students to prepare themselves to take part in the process. I also hope that the member universities and institutions of AIU will give the document wider publicity while appreciating the initiative.

> **Dr. Pankaj Mittal** Secretary General

PREFACE

In its endeavour to encourage the faculty members and students of Indian Universities, the Association of Indian Universities has launched a few awards and schemes. The idea for introducing the awards and schemes was originally conceived by Col. Dr G Thiruvasagam, Hon'ble President, AIU who took the initiative soon after taking over the Presidentship of Association of Indian Universities. With the enthusiastic support from the Members of Governing Council and the Secretary General of AIU, the idea has been materialised into concrete action. The awards and schemes have been introduced in such a time when the entire higher education system of the country is undergoing an overhauling, especially with implementation of the New Education Policy 2020 which aims at bringing massive structural and systemic reforms in all aspects of the system. In order to realise the gigantic task and accomplish the objectives, concerted efforts from diversified stakeholders are required. The faculty and students being the primary stakeholders of the system have to play a vital role in the process. Therefore, revitalising their spirit through recognising their hard work becomes important. Undoubtedly, the riveting measures initiated under the dynamic leadership of Hon'ble President supported by Esteemed Secretary General AIU will inject some vitality among the faculty members, researchers and students of Indian Universities by refreshing their spirit and enhancing their performance. Further, the Awards/ Schemes are mainly aimed to acknowledge and promote the faculty members and students academic excellence especially recognizing their research outputs, giving formal recognition them and helping in their career progression.

The achievements, efforts and innovations need to be recognized, noticed, nurtured, acknowledged and rewarded. Awards are not only seen as a "reward" for the efforts, but an appreciation and a celebration of the journey that took them towards this destination. The categories of awards reflect the AIU ethos of recognizing and faculty and student's potentials, acknowledging their areas of excellence and innovations. AIU is doing its best to acknowledge and recognize those academic members who deserve to be rewarded and this initiative is a beginning in this direction.

I am grateful to Col Dr G Thiruvasagam, Hon'ble President, AIU and Dr (Mrs) Pankaj Mittal, esteemed Secretary General, AIU for reposing their faith on me and assigning me the arduous task of drafting the conceptual framework, guidelines and format of the applications, which I have done with due diligence and to the best of my ability. I gratefully acknowledge the inputs from Dr. S. Sudalaimuthu, Vice-Chancellor, Karpagam Academy of Higher Education in finalising the document. At last, but not least, the support and cooperation received from my colleagues in Research Division is duly acknowledged.

> Dr. Amarendra Pani Joint Director & Head, Research Division

DR. S. RADHAKRISHNAN AWARD FOR THE BEST FACULTY RESEARCHER

Preamble

The Association of Indian Universities (AIU) has instituted 'Dr. S. Radhakrishnan Award for the Best Faculty Researcher' to recognize and appreciate the faculty members who have conducted high quality research and innovation. The outcomes have led to quality publications, accelerate filing of patents and commercialization.

Purpose

The key objectives of this award is to:

- Acknowledge and promote the faculty member's academic excellence especially recognizing their research output.
- Provide recognition of faculty members' achievements and accreditation processing.
- Motivate other faculty members to perform better thereby upgrading standards of excellence and achievement.
- Final selection is to be made by the AIU basing on the rank position obtained by the nominee. The candidate having highest score shall be selected for the award.

Assessment and Nomination

The Vice-Chancellor shall constitute a Committee with two external experts and the Committee shall assess the suitability of the faculty for the Award and recommend the same to the Vice-Chancellor. The Vice-Chancellor in turn nominate the faculty and forward the same to the AIU in the prescribed format.

Criteria for Selection

- Number of Ph.D. produced by the faculty.
- Successfully completed Research Projects sponsored by Government Agencies.
- Publication in Scopus/ WOS indexed Journals with highest impact factor.
- Books/Book chapters published.
- · International/National Patents granted.

The Award

5

Ş

The Award recipient will be given a Certificate and Trophy/Memento by AIU.

2 Best Faculty Researcher



PROFORMA FOR DR. S. RADHAKRISHNAN AWARD FOR THE BEST FACULTY RESEARCHER

Academic Year (1st July to 30th June)

1.	Name & Address of the University / Institute	:
2.	Name of the Faculty	:
3.	Gender	:
4.	Marital Status	:
5.	Father / Husband Name	:
6.	Date of Birth	:
7.	Place and Country of Birth	:
8.	Nationality and Citizenship	:
9.	Postal Address	:
10.	Primary E-Mail Address	:
11.	Secondary E-Mail Address	:
12.	Present Designation	:
13.	Name of the University	:
14.	Department	:
15.	Qualifications	:
16.	Date of Joining	:

Photo

Sl. No.	Items	Max. Marks	Marks Obtained
1.	Number of Ph.D. produced [5 Marks for each guidance]	50	
2.	Number of Major Projects completed (Funded by Govt. Agencies) [10 Marks for each Project]	100	
3.	Number of Minor Projects completed (Funded by Govt. Agencies) [5Marks for each Project]	50	
4.	Number of Books published by international publishers with ISBN No. [10 Marks for each Book]	100	
5.	Number of Books published by National publishers with ISBN [5 Marks for each Book]	50	
6.	Number of Book chapters published by international publishers with ISBN No. [3 Marks for each Chapter]	30	
7.	Number of Book Chapters by published National publishers with ISBN No. [2 Marks for each Chapter]	20	
8.	Total publications in refereed journals: SCI, SSCI, UGC- CARE list, SCOPUS) [10 Marks for each publication]	100	
9.	Number Patents International Granted –10 Marks Licensed – 15 Marks	150	
10.	Number Patents National Granted – 5 Marks Licensed – 10 Marks	100	
11.	Consultancy projects with a revenue generation of not less than Rs. 100 lakhs per project. [5 marks for each consultancy project]	50	

[Provide evidence for each Item]

Remarks if any:

.

ġ

Signature of the HoD

(with official seal)

Remarks/Recommendation of the Vice-Chancellor:

Place:

Date:

Signature of the Vice-Chancellor (with official seal)

*Note: Attach the passport size color photo of the faculty of the University.

AIU AWARD FOR THE FACULTY RESEARCHER FOR THE BEST PUBLICATIONS

Preamble

Association of Indian Universities (AIU) has initiated an *Award for the Faculty Researcher who has Published Paper/Article with Highest Impact Factor* based on grade point ranking for each journal category such as UGC- Care listed Journal, SCI papers, Scopus and SSCI papers for each subject category like Science, Arts, Engineering, Medicine and Social Sciences.

Purpose

The key objective of this award is to:

- Acknowledge and promote Faculty members research excellence and publication.
- Provide formal recognition of Faculty members research achievements which can be included in their career progression and accreditation agencies.
- Increase visibility of Faculty members research excellence and motivate other faculty members to perform better thereby upgrading standards of research.

Assessment & Nomination

The Vice-Chancellor shall constitute a Committee with two external experts and the Committee shall assess the suitability of the faculty for the Award and recommend the same to the Vice-Chancellor. The Vice-Chancellor in turn nominate the faculty and forward the same to the AIU in the prescribed format.

Terms and Conditions

- Articles published in the particular calendar year only will be considered.
- Final selection is to be made on the rank position obtained by the applicant. The candidate having highest score shall be selected for the award.
- The applicant should be either first author or corresponding author.
- The Impact Factor of standard citation indices only will be considered.
- All the journal articles must be listed in any of the standard indexing portals such as UGC- Care, SCI, Scopus and SSCI etc.
- Applicants have to submit the copies of all publications listed.

Weightage will be given according to the following four category of Journals

- A. Category I Outstanding Research Publications: Original research articles or review articles published in Journals with impact factor over 20. Weightage is 20 points for every article in this category.
- **B.** Category II Premier Research Publication: Original research articles or review articles published in Journals with impact factor over 10 to 20. Weightage is 10 points for every article in this category.
- C. Category III Commendable Research Publication: Original research articles or review articles published in Journals with impact factor over 5 to 10. Weightage is 5 points for every article in this category.
- **D.** Category IV: Original research articles or review articles published in Journals with impact factor 1 to 5. Weightage is 3 points for every article in this category.

The Award

The Award recipient will be given a Certificate and Trophy/Memento by AIU.

6 Best Publications



PROFORMA FOR AIU AWARD FOR THE FACULTY RESEARCHER FOR THE BEST PUBLICATIONS

Academic Year (1st July to 30th June)

1.	Name & Address of University / Institute	:
2.	Name of the Faculty	:
3.	Father/Husband Name	:
4.	Date of Birth	:
5.	Postal Address (with mobile number)	:
6.	Location of University / Institute	:
7.	Qualifications	:
8.	Present Designation	:
9.	Department	:

Photo	

SI. No.	Particular	Max Marks	Name of the Journal	Impact Factor	Title along with Vol. & Issue No. & Year of Publication
1.	Category I: Articles with impact factor over 20 (Attach the list and copies of publications): Marks per paper 20	200			
2.	Category II: Articles with impact factor over 10 to 20 (Attach the list and copies of publications): Marks per paper 10	100			
3.	Category III: Articles with impact factor over 5 to 10 (Attach the list and copies of publications): Marks per paper 7	70			
4.	Category IV: Articles with impact factor over 1 to 5 (Attach the list and copies of publications): Marks per paper 3	30			

[Title of the Article/Paper & Impact Factor, Name of the Journal, Publisher and Impact Factor is Mandatory]

Signature of the HoD

(with official seal)

Remarks/Recommendation of the Committee:

Research Score Obtained:

Signature of the Vice-Chancellor (with official seal)

Place:

4

1

Date:

*Note: Attach the passport size color photo of the candidate.

AIU AWARD FOR THE BEST DOCTORAL THESIS

Preamble

Academic Research constitutes to be the larger segment of the entire research ecosystem. The University research assumes an important role because it helps in strengthening the process of knowledge creation. Universities are the centers which nurture the creativity, talent and aptitude of the students by providing them, ambience, infrastructure and training. To recognize, appreciate and encourage the young researchers for pursuing best quality research, the Association of Indian Universities has launched *AIU Award for the Best Doctoral Thesis* for the young researchers in member Universities/ Institutions.

Purpose

The key objective of this award is to:

- Acknowledge and promote student faculty excellence in research.
- Provide formal recognition of their research work.
- Increase visibility of faculty research excellence at national level and motivate other faculty members to do best research work in thesis.

Assessment & Nomination

The Vice-Chancellor shall constitute a Committee with two external experts and the Committee shall assess the suitability of the Doctoral Thesis for the Award and recommend the same to the Vice-Chancellor. The Vice-Chancellor in turn nominate the Doctoral Thesis and forward the same to the AIU in the prescribed format.

Terms and Conditions

- The Ph.D. Thesis under consideration should have been awarded with degree in the respective academic year in full time mode.
- A plagiarism Report (acceptable limit is 10%) must accompany the application.
- The Doctoral Thesis submitted for the award must be uploaded in the *Shodhganga* portal of INFLIBNET.
- Final selection is to be made on the rank position obtained by the nominee based on the thesis evaluated by national/international evaluator, objectives, soundness of study, instruments/software used, sampling/survey method, statistical analysis of data, presentation,

documentation, interpretation social economic relevance of the thesis, research publication and patents, which shall be evaluated by two external experts. The top one candidate having highest score shall be selected for the award.

The Award

The Award recipient will be given a Certificate and Trophy/Memento by AIU.

10 Best Doctoral Thesis



PROFORMA FOR AIU AWARD FOR THE BEST DOCTORAL THESIS

Academic Year (1st July to 30th June)

1.	Name of the University / Institute	1
2.	Name of the Ph.D. Scholar	;
3.	Date of Birth	:
4.	Department	:
5.	Faculty/Discipline	:
6.	Year of Ph.D. Degree Awarded	:
7.	Title of the Doctoral Thesis	:
8.	Broad Area/Discipline	:

	ioto	

SI. No.	Items	Max. Marks	Marks Secured
1.	 Whether the Thesis is evaluated by: a) National Evaluator (10 marks) b) International Evaluator (20 marks) c) Both (National/International) evaluators (30 marks) 	30	
2.	Objectives	20	
3.	Novelty of the work	20	
4.	Methodology used	20	
5.	Design of the experiment/sampling/survey	30	
6.	Statistical analysis of data	20	
7.	Presentation, documentation, interpretation	20	
8.	Social-economic relevance of the thesis	20	
9.	No. of Research Papers Published/presented in Scopus/SCI indexed Journal with an Impact factor of minimum 1 related to Thesis work	20	
10.	PatentsGrantedNational : 5International : 10LicensedNational : 10International : 15	50	

[Submit the Doctoral thesis copy]

Remarks if any:

Signature of the HoD

(with official seal)

Remarks/Recommendation of the Vice Chancellor :

Signature of the Vice-Chancellor

(with official seal)

Place:

Date:

*Note: Attach the passport size color photo of the candidate.

AIU AWARD FOR THE BEST ALL ROUNDER UNIVERSITY STUDENT

Preamble

To recognize excellence and achievements of students in all fields such as academic, co-curricular and extra-curricular activities, the Association of Indian Universities (AIU) has instituted *AIU Award for the Best All-Rounder University Student.*

Purpose

The key objectives of this award is to:

- Acknowledge and promote students achievement and excellence in academic, co-curricular and extra-curricular activities.
- Provide formal recognition of student achievements which can be useful to them for their further educational endeavor and career progression.
- Increase visibility of student excellence and motivate other students to perform better.

Assessment & Nomination

The Vice-Chancellor shall constitute a Committee with two external experts and the Committee shall assess the suitability of the student for the Award and recommend the same to the Vice-Chancellor. The Vice-Chancellor in turn nominate the student and forward the same to the AIU in the prescribed format.

The Award

The Award recipient will be given a certificate and Trophy/Memento by AIU.



PROFORMA FOR AIU AWARD FOR THE BEST ALL ROUNDER UNIVERSITY STUDENT

Academic Year (1st July to 30th June)

SC/ST/OBC/OC

1.	Name & Address of the University / Institute	:
2.	Name of the Student	:
3.	Father's Name	:
4.	Date of Birth	:
5.	Postal Address (with mobile number)	:
6.	Programme Enrolled	:
7.	Department	:
8.	Year of Study	:
9.	Social Status	:

[Provide evidence for each Items]	[Provi	ide evidence	for each Items]	
-----------------------------------	--------	--------------	-----------------	--

SI. No.	Items	Max. Marks	Marks Obtained
1.	Overall percentage (aggregate GPA till date)	100	
2.	Distinction Achieved	20	
3.	Achievements in Co- curricular activities (Attach Brief description about the award and position achieved)	30	
4.	Awards/Positions in Extra- curricular activities (Attach Brief description about the award and position achieved)	20	
5.	Awards/Positions in Sports activities (Attach Brief description about the award/position achieved)	30	
6.	Awards for Research paper/ Poster presentations (Brief description about the award and position achieved)	30	
7.	Achievements in Extension activities (Attach Brief description about the award and position achieved)	10	
8.	Achievements in organization skills (Attach Brief description about the award and position achieved)	10	

Remarks if any

Signature of the HoD

(with official seal)

Remarks/Recommendation of the Vice Chancellor :

Signature of the Vice-Chancellor (with official seal)

Place:

Date:

*Note: Attach the passport size color photo of the candidate.



ASSOCIATION OF INDIAN UNIVERSITIES

16, Comrade Indrajit Gupta Marg, New Delhi–110002 EPABX: 23230059, 23232305, 23232429, 23232425, 23233390, FAX: (91) 011-23232131 E-Mail: sgoffice@aiu.ac.in, researchaiu@gmail.com Website: http://www.aiu.ac.in